Re-KYC Form (updation of KYC Information) Cum FATCA / CRS Declaration for Non-Residents

Date:

Latest Photograph

To,
The Branch Manager,
Kotak Mahindra Bank Ltd

Re: Updation of information related to my Customer Relationship No _____

Dear Sir/Madam

This is with the reference to your letter requesting for updation of my / our information.

Please update the following information in your records. Also enclosed is the self-attested copy of my Passport and Visa / Permit.

Note: For shippers, in case Visa/Permit is not available CDC Booklet and Indian/Merchant navy declaration to be provided

Name (in CAPITAL LETTERS)	
Passport Details	
Passport Number	
Date of Issue	
Date of Expiry	
Visa Details	
Visa Type	
Date of Issue	
Date of Expiry	
Occupation	If self-employed /businessman please specify nature of business _____
Annual Income (INR)	
Name of Organization (if employed)	
Permanent Account Number	
Address	

I confirm the above information furnished is true and correct and the said details may please be updated in my account maintained with the Bank

FATCA/CRS Details:

☐ I have already updated my FATCA/CRS Details with the Bank and there is no change.

☐ I want to update my FATCA/CRS Details with the Bank / There is a change in my FATCA /CRS details.

[Please fill the FATCA/CRS Declaration on Next Page]

Customer Name and Signature	
Date	

Customer Acknowledgement Copy: (Applicable if submitted at the branch)

Service Request No: _____ Acknowledgement date: D D M M Y Y Y Y

Signature of the Bank Official: _____

KMBL/Jan-2020/V1.01

FATCA / CRS DECLARATION FOR INDIVIDUAL ACCOUNTS (Including Sole Proprietor)

Note – The information in this section is being collected because of enhancements to Kotak Mahindra Bank's new account on-boarding procedures in order to comply with Foreign Account Tax Compliance Act (FATCA) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962.

For more information refer:

http://www.incometaxindia.gov.in/dtaa/other%20agreements/india_iga_final-_india_english.pdf

http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf

(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

Office / Bank use only OPTY ID / SR Number : _____

Part A *(All fields are mandatory)*

Section I

		Details of Account Holder	
1.	**Customer Relationship Number (CRN)** *[if any]*		
2.	**Name of Account Holder**		
3.	**Address for Tax Residence (include City, State, Country and Pin code)**		
4.	**Address Type** *(Tick whichever applicable)*	(a) Residential ☐ (b) Business ☐ (c) Registered Office ☐	
5.	**Do you satisfy any of the criteria mentioned below?**	Yes	No
	a. Citizen of any country other than India (dual / multiple) *[including Greencard]*	☐	☐
	b. Country of birth is any country other than India	☐	☐
	c. Tax resident of ANY country / ies other than India	☐	☐
	d. POA or a mandate holder who has an address outside India	☐	☐
	e. Address or telephone number outside India	☐	☐

If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration & acknowledgment

Section II - Other information *(Please fill in BLOCK LETTERS)*

Father's name_____ *(If PAN not available, then mandatory)*

Country of Birth* _____ Place within the Country of Birth_____

*(In case Country of Birth is USA , however Nationality and Country of Tax Residency is other than USA , please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth _____ Nationality _____

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no[5]	Tax identification document (TIN or functional equivalent)

[5]*It is mandatory to supply a TIN or functional equivalent (in case TIN not available)if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below:*

_____

_____

KMBL/Jan-2020/V1.01

Declaration & Acknowledgement	I _____ being the beneficial owner of the account opened / to be opened with Kotak Mahindra Bank Limited and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed. I acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self-certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any **change in any information provided by me I ensure that I will intimate the Bank** promptly, **i.e., within 30 days.** Towards compliance with such laws, the Bank may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Bank may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s). I also understand that the account will be reported if any one of the aforesaid FATCA / CRS criteria for any of the account holders i.e. primary or joint are met.

KMBL/Jan-2020/V1.01

I confirm the above information furnished is true and correct and the said details may please be updated in my account maintained with the Bank

Customer Name and Signature	
Date	