

## General information about company

Scrip code	500247
NSE Symbol	KOTAKBANK
MSEI Symbol	NOTLISTED
ISIN	INE237A01028
Name of the entity	KOTAK MAHINDRA BANK LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Half Yearly
Date of Report	30-09-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 100 listed entities

**Annexure I**  
**Annexure I to be submitted by listed entity on quarterly basis**  
**I. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson																				
Whether Chairperson related to Promoter																				
Disqualification of Directors under section 164 of the Companies Act, 2013																				
Sr	Title (Mr / Ms)	Name of the Director	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Whether the director is disqualified?	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Prakash Apte	00196106	Non-Executive - Independent Director	Chairperson		No	Active	NA		18-03-2011	18-03-2019		102.40	4	4	5	1		
2	Mr	Uday Kotak	00007467	Executive Director	Not Applicable	CEO-MD	No	Active	NA		21-11-1985				1	0	2	1		
3	Mr	Dipak Gupta	00004771	Executive Director	Not Applicable	Joint MD	No	Active	NA		01-10-1999				1	0	3	0		
4	Mr	K V S Manian	00031794	Executive Director	Not Applicable		No	Active	NA		01-11-2019				1	0	0	0		
5	Mr	Gaurang Shah	00016660	Executive Director	Not Applicable		No	Active	NA		01-11-2019				1	0	2	0		
6	Mr	C Jayaram	00012214	Non-Executive - Non Independent Director	Not Applicable		No	Active	NA		01-10-1999				1	0	3	0		
7	Mr	Amit Desai	00310510	Non-Executive - Non Independent Director	Not Applicable		No	Active	NA		18-03-2022				1	0	0	0		
8	Ms	Farida Khambata	06954123	Non-Executive - Independent Director	Not Applicable		No	Active	NA		07-09-2014	07-09-2019	07-09-2022	96.00	3	3	1	0		
9	Mr	Uday Khanna	00079129	Non-Executive - Independent Director	Not Applicable		No	Active	NA		16-09-2016	16-09-2021		72.50	4	4	5	3		
10	Mr	Uday Shankar	01755963	Non-Executive - Independent Director	Not Applicable		No	Active	NA		16-03-2019	16-03-2019		42.50	2	2	0	0		
11	Mr	Ashok Gulati	07062601	Non-Executive - Independent Director	Not Applicable		No	Active	NA		06-03-2021	06-03-2021		18.80	2	2	1	0		
12	Ms	Ashu Suyash	00494515	Non-Executive - Independent Director	Not Applicable		No	Active	NA		24-01-2022	24-01-2022		8.20	2	2	3	1		

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00079129	Uday Khanna	Non-Executive - Independent Director	Chairperson	16-09-2016		
2	07062601	Ashok Gulati	Non-Executive - Independent Director	Member	15-03-2021		
3	00012214	C Jayaram	Non-Executive - Non Independent Director	Member	15-03-2021		
4	00494515	Ashu Suyash	Non-Executive - Independent Director	Member	25-01-2022		
5							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06954123	Farida Khambata	Non-Executive - Independent Director	Chairperson	14-03-2019	07-09-2022	
2	00196106	Prakash Apte	Non-Executive - Independent Director	Member	30-04-2014		
3	00012214	C Jayaram	Non-Executive - Non Independent Director	Member	20-07-2018		
4	01755963	Uday Shankar	Non-Executive - Independent Director	Chairperson	10-08-2021		
5							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06954123	Farida Khambata	Non-Executive - Independent Director	Chairperson	15-03-2021	07-09-2022	
2	00007467	Uday Kotak	Executive Director	Member	30-01-2003		
3	00004771	Dipak Gupta	Executive Director	Member	29-06-2001		
4	00012214	C Jayaram	Non-Executive - Non Independent Director	Member	30-04-2019		
5	00494515	Ashu Suyash	Non-Executive - Independent Director	Chairperson	07-09-2022		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07062601	Ashok Gulati	Non-Executive - Independent Director	Chairperson	10-08-2021		
2	00007467	Uday Kotak	Executive Director	Member	26-02-2003		
3	00196106	Prakash Apte	Non-Executive - Independent Director	Member	14-03-2019		
4	00494515	Ashu Suyash	Non-Executive - Independent Director	Member	18-03-2022		
5							

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00196106	Prakash Apte	Non-Executive - Independent Director	Chairperson	15-03-2021		
2	00012214	C Jayaram	Non-Executive - Non Independent Director	Member	14-03-2014		
3	00004771	Dipak Gupta	Executive Director	Member	14-03-2014		
4							
5							

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						
2						
3						
4						
5						

**Annexure 1**

III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	04-05-2022			Yes	12	12	6
2	25-06-2022	51		Yes	12	11	6
3	23-07-2022	27		Yes	12	12	6
4	27-08-2022	34		Yes	12	12	6
5	27-09-2022	30		Yes	11	10	5

\* to be filled in only for the current quarter meetings

**Annexure 1**

**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors (All directors including Independent director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	04-05-2022				Yes	4	4	3	0
2	Audit Committee	15-06-2022	41			Yes	4	4	3	0
3	Audit Committee	23-07-2022	37			Yes	4	3	3	0
4	Audit Committee	02-08-2022	9			Yes	4	4	3	0
5	Audit Committee	28-09-2022	56			Yes	4	4	4	0
6	Nomination and remuneration committee	29-04-2022				Yes	4	4	3	0
7	Nomination and remuneration committee	10-05-2022	10			Yes	4	4	3	0
8	Nomination and remuneration committee	16-07-2022	66			Yes	4	4	3	0
9	Nomination and remuneration committee	27-08-2022	41			Yes	4	4	3	0
10	Risk Management Committee	12-05-2022				Yes	4	4	4	0
11	Risk Management Committee	22-06-2022	40			Yes	4	4	4	0
12	Risk Management Committee	29-08-2022	67			Yes	4	4	4	0
13	Stakeholders Relationship Committee	30-08-2022	0			Yes	4	4	1	0
14	Corporate Social Responsibility Committee	16-06-2022				Yes	3	3	1	0
15	Corporate Social Responsibility Committee	18-07-2022	31			Yes	3	3	1	0

\* to be filled in only for the current quarter meetings

**Annexure 1**

**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
<b>Disclosure of notes on related party transactions</b>			
<b>Disclosure of notes of material transaction with related party</b>			

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Avan Doomasia
2	Designation	Company Secretary and Compliance Officer

Annexure III				
III. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Annexure III		
1	Name of signatory	Avan Doomasia
2	Designation	Company Secretary and Compliance Officer



Additional Half yearly Disclosure			
Applicability of disclosure	Not Applicable		
Reason for Non Applicability	N.A. for Banking Company		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below			The Figure should be mentioned in Actual INR only
<b>(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to</b>			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By</b>			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by</b>			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them			
Promoter Group or any other entity controlled by them			
Directors (including relatives) or any other entity controlled by them			
KMPs or any other entity controlled by them			
<b>(D) Additional Information</b>			
<b>II. Affirmations</b>			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to			
Name			
Designation			
Place			
Date			

### Signatory Details

Name of signatory	Avan Doomasia
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	20-10-2022