



April 21, 2021

The Manager Corporate Relationship, BSE Limited, 1 st Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5 th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir,

Sub: Statement of Investor Grievance Redressal for the quarter ended March 31, 2021.

Ref: Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith, the Statement of Investor Grievance Redressal for the quarter ended March 31, 2021 received from the Registrar & Transfer Agents, as follows:

- (i) KFin Technologies Private Limited for Equity and Preference Shares
- (ii) Link Intime India Private Limited for Non-Convertible Debentures
- (iii) Bigshare Services Private Limited for Non-Convertible Debentures.

Kindly acknowledge receipt.

Yours faithfully,
For Kotak Mahindra Bank Limited

Avan Doomasia
Senior Executive Vice President &
Company Secretary

Encl: as above.

Kotak Mahindra Bank Ltd.
CIN: L65110MH1985PLC038137

Registered Office:
27 BKC, C 27, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400051,
Maharashtra, India.

T +91 22 61660001
www.kotak.com

INVESTOR COMPLAINT CERTIFICATE

This is to certify that we are the Registrars and Share Transfer Agents of M/s. Kotak Mahindra Bank Limited (“the Bank”) for both electronic and physical shares.

This is to further certify that the investor complaints of the Bank for the quarter ending March 31, 2021 are as follows :

Investor Complaints pending at the beginning of the quarter	3
Investor Complaints received during the quarter	13
Investor Complaints disposed off during the quarter	10
Investor Complaints lying unresolved at the end of the quarter	*6

Note * : Out of the six pending investor complaints, four investor complaints have been resolved as on date. The balance two investor complaints will be resolved by April 30, 2021.

For **KFin Technologies Private Ltd**



C Shobha Anand
Deputy General Manager

Date : 20th April, 2021

KFin Technologies Private Limited
(Formerly known as “Karvy Fintech Private Limited”)

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032. Ph : +91 40 6716 2222, 3321 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

Date : 16.04.2021

TO,

UNIT: KOTAK MAHINDRA BANK LIMITED

Sub.:	Statement of Investor Complaints for the quarter ended on March 31, 2021 w.r.t. Non-Convertible Debentures ("NCDs")
Ref.:	Regulation 13 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations")

We, Link Intime India Private Limited, are the Registrar and Transfer Agents of the Company w.r.t. said NCDs, only for providing electronic connectivity to the Company.

We further confirm that during the period **01st January 2021 to 31th March 2021**, we have not received any complaints/ grievances from holders of the NCDs issued by the Company and a summary of the same, as required in terms of the SEBI Listing Regulations is as follows:

Particulars	No. of Complaints
Pending at the beginning of the quarter	0
Received during the quarter	0
Disposed off during the quarter	0
Remaining unresolved at the end of the quarter	0

This is for your information.

Thanking You.

Yours faithfully,

For Link Intime India Pvt Ltd.

Ganesh Jadhav

Asst. Vice President - Depository Operations

Date: 1st April, 2021

INVESTOR COMPLAINT CERTIFICATE

This is to certify that we are the Registrars and Share Transfer Agents of Kotak Mahindra Bank LTD for both electronic and physical Bonds (Infrastructure/ Lower Tier II).

This is to certify that the investor grievance of the bank for the quarter ending 31st March, 2021 is as follows:

Investor Complaints pending at the beginning of the quarter	Nil
Investor Complaints received during the quarter	Nil
Investor Complaints disposed of during the quarter	Nil
Investor Complaints lying unresolved at the end of the quarter	Nil

For Bigshare Services Pvt. Ltd.

**SUBRAMANI
AN
ARUNRAJ**

Digitally signed by SUBRAMANIAN ARUNRAJ
DN: c=IN, o=Personal,
pseudonym=1f5e85289a3795d3df3b8844bfe
e91cc10fa4fa74bc3ee43031736a7594fe3dc,
postalCode=600125, st=TAMIL NADU,
serialNumber=aeea5e199df4ed89c0f725b87
057561469f96e04bbc59f4ee378d79afbdeed
d, cn=SUBRAMANIAN ARUNRAJ
Date: 2021.04.01 18:06:26 +05'30'

**S. Arunraj
Authorised Signatory**

CIN : U99999MH1994PTC076534

(An Associate Company of Transfer Online Inc., USA)

1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri East, Mumbai - 400 059.
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Regd. Office: E-2/3, Ansa Industrial Estate, Sakivihar Road, Saki Naka, Andheri (E), Mumbai - 400 072. India.