



19<sup>th</sup> October 2020

The Manager Corporate Relationship, BSE Limited, 1 <sup>st</sup> Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5 <sup>th</sup> Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir,

Pursuant to Regulation 31(1)(b) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose the Shareholding Pattern of the Bank for the quarter ended 30<sup>th</sup> September 2020.

Kindly acknowledge receipt.

Yours faithfully,  
**Kotak Mahindra Bank Limited**

**Bina Chandarana**  
**Joint President & Company Secretary**

Encl: as above.

Format of Holding of Specified securities

1. Name of Listed Entity:KOTAK MAHINDRA BANK LIMITED
2. Scrip Code/Name of Scrip/Class of Security:500247,KOTAKBANK,EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
  - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2020
  - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?		No
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No
f	Whether company has equity shares with differential voting rights?		No
g	Whether the listed entity has any significant beneficial owner?		No

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares	No.		As a % of total Shares held
								Class X	Class Y	Total (IX)								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)		(XII)		(XIII)	(XIV)	
(A)	Promoter & Promoter Group	8	515667264	0	0	515667264	26.05	515667264	0	515667264	26.05	0	26.05	0	0.00	0	0.00	515667264
(B)	Public	427148	1463540545	0	0	1463540545	73.95	1463540545	0	1463540545	73.95	0	73.95	0	0.00	NA	NA	1458451375
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
<b>Total:</b>		<b>427156</b>	<b>1979207809</b>	<b>0</b>	<b>0</b>	<b>1979207809</b>	<b>100.00</b>	<b>1979207809</b>	<b>0</b>	<b>1979207809</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1974118639</b>

Note:

Voting rights of the promoters of the Bank are capped to 15% of paid-up voting equity share capital of the Bank from 1st April 2020.

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly-paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Indian																		
(a)	Individuals/Hindu undivided Family																		
	UDAY SURESH KOTAK	AABPK8999F	1	515432908	0	0	515432908	26.04	515432908	0	515432908	26.04	0	0.00	0	0.00	0	0.00	515432908
	SURESH A KOTAK (HUF)	AAAH55956B	1	510927100	0	0	510927100	25.81	510927100	0	510927100	25.81	0	0.00	0	0.00	0	0.00	510927100
	PALLAVI KOTAK	AABPK6238P	1	110000	0	0	110000	0.01	110000	0	110000	0.01	0	0.00	0	0.00	0	0.00	110000
	SURESH AMRITLAL KOTAK	AABPK6548A	1	1111580	0	0	1111580	0.06	1111580	0	1111580	0.06	0	0.00	0	0.00	0	0.00	1111580
	INDIRA SURESH KOTAK	AABPK6754A	1	100000	0	0	100000	0.01	100000	0	100000	0.01	0	0.00	0	0.00	0	0.00	100000
	JANAK DINKARRAI DESAI	ACUPD9668F	1	2000000	0	0	2000000	0.10	2000000	0	2000000	0.10	0	0.00	0	0.00	0	0.00	2000000
	AAARTI NEAL CHANDARIA	ACYPC3743N	1	1126868	0	0	1126868	0.06	1126868	0	1126868	0.06	0	0.00	0	0.00	0	0.00	1126868
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other																		
	KOTAK TRUSTEE COMPANY PVT. LTD BENEFICIAL OWNER MR.UDAY S KOTAK	AAATU4449F	1	57360	0	0	57360	0.00	57360	0	57360	0.00	0	0.00	0	0.00	0	0.00	57360
	<b>Sub-Total [A][1]</b>		<b>8</b>	<b>515667264</b>	<b>0</b>	<b>0</b>	<b>515667264</b>	<b>26.05</b>	<b>515667264</b>	<b>0</b>	<b>515667264</b>	<b>26.05</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>515667264</b>
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Other																		
	<b>Sub-Total [A][2]</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group [A]=[A][1]+[A][2]</b>		<b>8</b>	<b>515667264</b>	<b>0</b>	<b>0</b>	<b>515667264</b>	<b>26.05</b>	<b>515667264</b>	<b>0</b>	<b>515667264</b>	<b>26.05</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>515667264</b>

Note:  
Voting rights of the promoters of the Bank are capped to 15% of paid-up voting equity share capital of the Bank from 1st April 2020.

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares		
																			No of Voting Rights
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total	(X)	(XI)	(XII)	(XIII)	(XIV)				
(1)	Institutions																		
(a)	Mutual Funds		38	183810251	0	0	183810251	9.29	183810251	0	183810251	9.29	0	9.29	0	0.00	NA	NA	183782251
	SBI MUTUAL FUND	AABTS6407Q	1	55642681	0	0	55642681	2.81	55642681	0	55642681	2.81	0	2.81	0	0.00	NA	NA	55642681
	AXIS MUTUAL FUND	AACTA5925A	1	29976136	0	0	29976136	1.51	29976136	0	29976136	1.51	0	1.51	0	0.00	NA	NA	29976136
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		46	5810391	0	0	5810391	0.29	5810391	0	5810391	0.29	0	0.29	0	0.00	NA	NA	5810391
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		759	835817267	0	0	835817267	42.23	835817267	0	835817267	42.23	0	42.23	0	0.00	NA	NA	835791667
	CANADA PENSION PLAN INVESTMENT BOARD	AADCC3918P	1	126310661	0	0	126310661	6.38	126310661	0	126310661	6.38	0	6.38	0	0.00	NA	NA	126310661
	EUROPACIFIC GROWTH FUND	AAAAE0997R	1	98539478	0	0	98539478	4.98	98539478	0	98539478	4.98	0	4.98	0	0.00	NA	NA	98539478
	OPPENHEIMER DEVELOPING MARKETS FUND	AAATO090N	1	66129241	0	0	66129241	3.34	66129241	0	66129241	3.34	0	3.34	0	0.00	NA	NA	66129241
	CAPITAL WORLD GROWTH AND INCOME FUND	AABTC2758E	1	39936876	0	0	39936876	2.02	39936876	0	39936876	2.02	0	2.02	0	0.00	NA	NA	39936876
	NEW WORLD FUND INC	AABCN3163H	1	38532271	0	0	38532271	1.95	38532271	0	38532271	1.95	0	1.95	0	0.00	NA	NA	38532271
	CAISSE DE DEPOT ET PLACEMENT DU QUEBEC	AADCC1811B	2	21930920	0	0	21930920	1.11	21930920	0	21930920	1.11	0	1.11	0	0.00	NA	NA	21930920
(f)	Financial Institutions/Banks		16	624338	0	0	624338	0.03	624338	0	624338	0.03	0	0.03	0	0.00	NA	NA	617704
(g)	Insurance Companies		40	111699096	0	0	111699096	5.64	111699096	0	111699096	5.64	0	5.64	0	0.00	NA	NA	111699096
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	62992740	0	0	62992740	3.18	62992740	0	62992740	3.18	0	3.18	0	0.00	NA	NA	62992740
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																		
	Sub Total (B)(1)		899	1137761343	0	0	1137761343	57.49	1137761343	0	1137761343	57.49	0	57.49	0	0.00	NA	NA	1137701109
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		409632	90040703	0	0	90040703	4.55	90040703	0	90040703	4.55	0	4.55	0	0.00	NA	NA	85946631
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		332	90880412	0	0	90880412	4.59	90880412	0	90880412	4.59	0	4.59	0	0.00	NA	NA	90814612
(b)	NBFCs Registered with RBI		14	359661	0	0	359661	0.02	359661	0	359661	0.02	0	0.02	0	0.00	NA	NA	359661
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																		
	Trusts		63	21320100	0	0	21320100	1.08	21320100	0	21320100	1.08	0	1.08	0	0.00	NA	NA	21320100
	NATIONAL PENSION SYSTEM TRUST	AABTN0648L	1	20234610	0	0	20234610	1.02	20234610	0	20234610	1.02	0	1.02	0	0.00	NA	NA	20234610
	Overseas Corporate Bodies		2	8008110	0	0	8008110	0.40	8008110	0	8008110	0.40	0	0.40	0	0.00	NA	NA	8008110
	Non Resident Indians		8694	4799199	0	0	4799199	0.24	4799199	0	4799199	0.24	0	0.24	0	0.00	NA	NA	3978115
	Clearing Members		227	3635082	0	0	3635082	0.18	3635082	0	3635082	0.18	0	0.18	0	0.00	NA	NA	3635082
	Foreign Bank		1	32800000	0	0	32800000	1.66	32800000	0	32800000	1.66	0	1.66	0	0.00	NA	NA	32800000
	SUMITOMO MITSUI BANKING CORPORATION	AAACT8792P	1	32800000	0	0	32800000	1.66	32800000	0	32800000	1.66	0	1.66	0	0.00	NA	NA	32800000
	Non Resident Indian Non Repatriable		4230	3574229	0	0	3574229	0.18	3574229	0	3574229	0.18	0	0.18	0	0.00	NA	NA	3574229
	Bodies Corporates		3051	41076196	0	0	41076196	2.08	41076196	0	41076196	2.08	0	2.08	0	0.00	NA	NA	41028216
	I E P F		1	3317068	0	0	3317068	0.17	3317068	0	3317068	0.17	0	0.17	0	0.00	NA	NA	3317068
	Foreign Corporate Bodies		1	25966992	0	0	25966992	1.31	25966992	0	25966992	1.31	0	1.31	0	0.00	NA	NA	25966992
	CALADIUM INVESTMENT PTE LTD	AABCH9331D	1	25966992	0	0	25966992	1.31	25966992	0	25966992	1.31	0	1.31	0	0.00	NA	NA	25966992
	Foreign Nationals		1	1450	0	0	1450	0.00	1450	0	1450	0.00	0	0.00	0	0.00	NA	NA	1450
	Sub Total (B)(3)		426249	325779202	0	0	325779202	16.46	325779202	0	325779202	16.46	0	16.46	0	0.00	NA	NA	320750266
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		427148	1463540545	0	0	1463540545	73.95	1463540545	0	1463540545	73.95	0	73.95	0	0.00	NA	NA	1458451375

Note:

\* Shareholding under 'Insurance companies' category reflects the shareholding of IRDA regulated insurance companies.

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares	No.	As a % of total Shares held		
									Class X	Class Y									Total (IX)
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regul		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	<b>Total Non-Promoter-Non Public Shareholding (C) – (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>			<b>0</b>

<b>Details of the shareholders acting as persons in Concert including their Shareholding:</b>			
Name of Shareholder	Name of PAC	No of shares	Holding%
Nil	Nil	Nil	Nil
<b>Total:</b>		<b>0</b>	<b>0</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares
Nil	Nil