



Kotak Mahindra Bank

12<sup>th</sup> May 2020

The Manager Corporate Relationship, BSE Limited, 1 <sup>st</sup> Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5 <sup>th</sup> Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir,

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose the Investor Grievance Redressal Certificates for the quarter ended 31<sup>st</sup> March 2020 received from R&T Agents i.e., Kfin Technologies Private Limited for equity shares and (1) Link Intime India Pvt. Ltd., & (2) Bigshare Services Pvt. Ltd., for Non-convertible Debentures.

Kindly acknowledge receipt.

Yours faithfully,  
**Kotak Mahindra Bank Limited**

*Bina Chandarana*  
**Bina Chandarana**  
**Joint President & Company Secretary**

Encl: as above.



**Kotak Mahindra Bank Ltd.**  
CIN: L65110MH1985PLC038137

Registered Office:  
27 BKC, C 27, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai 400051,  
Maharashtra, India.

T +91 22 61660000  
www.kotak.com



## INVESTOR COMPLAINT CERTIFICATE

This is to certify that we are the Registrars and Share Transfer Agents of M/s. Kotak Mahindra Bank Limited for both electronic and physical shares.

This is to further certify that the investor grievance of the bank for the quarter ending 31.03.2020 is as follows :

Investor Complaints pending at the beginning of the quarter	0
Investor Complaints received during the quarter	7
Investor Complaints disposed off during the quarter	4
Investor Complaints lying unresolved at the end of the quarter	3

Note \* : The pending investor complaints were resolved on 02<sup>nd</sup> May, 2020.

For **KFin Technologies Private Ltd**

Sd/-

**C Shobha Anand**  
**Deputy General Manager**

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032. Ph : +91 40 6716 2222, 3321 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649



# The statement of investor complaints for the quarter ended 31st March 2020 given by Link Intime India Pvt. Ltd on official mail ID

RE: Request IGR for the Quarter ended March 2020- KMBL - Message (Plain Text) (Read-Only)

FILE MESSAGE

Ignore Delete Reply Reply All Forward Meeting IM More Move to: ? To Manager Team Email Move OneNote Actions Mark Unread Categorize Follow Up Translate Find Related Select Zoom

Delete Respond Quick Steps Move Tags Editing Zoom

Thu 07-May-20 10:53 AM  
Amit Dabhade <amit.dabhade@linkintime.co.in>  
RE: Request IGR for the Quarter ended March 2020- KMBL

To Reshma Anil (Corporate, KMBL)

Cc Ganesh Jadhav; Ganesh R. Swamy (Corporate, KMBL); Securities Treasury Operations

We removed extra line breaks from this message.

UNIT: KOTAK MAHINDRA BANK LIMITED

Sub.:  
Statement of Investor Complaints for the quarter ended on March 31,2020 w.r.t. Non-Convertible Debentures ("NCDs")

Ref.: Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations")

We, Link Intime India Private Limited, are the Registrar and Transfer Agents of the Company w.r.t. said NCDs, only for providing electronic connectivity to the Company.

We further confirm that during the quarter ended on 01st Jan 2020 to 31th March 2020, we have not received any complaints/ grievances from holders of the NCDs issued by the Company and a summary of the same, as required in terms of the SEBI Listing Regulations is as follows:

Particulars	No. of Complaints
Pending at the beginning of the quarter	0
Received during the quarter	0
Disposed off during the quarter	0
Remaining unresolved at the end of the quarter	0

This is for your information.

Windows taskbar: Type here to search, 5:44 PM, 11-May-20