



13th July 2020

The Manager Corporate Relationship, BSE Limited, 1 st Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.	The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5 th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.
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Dear Sir,

Reg: Quarterly Compliance Report on Corporate Governance.

Pursuant to Regulation 27(2)(a) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose the Quarterly Compliance Report on Corporate Governance for the quarter ended 30th June 2020.

Kindly acknowledge receipt.

Yours faithfully,
Kotak Mahindra Bank Limited

Bina Chandarana
Joint President & Company Secretary

Encl: as above.

General information about company	
Scrip code	500247
NSE Symbol	KOTAKBANK
MSEI Symbol	NOTLISTED
ISIN	INE237A01028
Name of the entity	KOTAK MAHINDRA BANK LIMITED
Date of start of financial year	01-04-2020
Date of end of financial year	31-03-2021
Reporting Quarter	Quarterly
Date of Report	30-06-2020
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 100 listed entities

Annexure I																				
Annexure I to be submitted by listed entity on quarterly basis																				
I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson														Yes						
Whether Chairperson is related to MD or CEO														No						
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Prakash Apte	AABPA3173H	00196106	Non-Executive - Independent Director	Chairperson		06-05-1954	NA		18-03-2011	18-03-2019		75	2	2	4	2		
2	Mr	Uday Kotak	AABPK8999F	00007467	Executive Director	Not Applicable	CEO-MD	15-03-1959	NA		21-11-1985	01-01-2018			1	0	1	1		
3	Mr	Dipak Gupta	AAAPG6087F	00004771	Executive Director	Not Applicable		13-02-1961	NA		01-10-1999	01-01-2018			1	0	3	0		

4	Mr	K V S Manian	AOPPS4469G	00031794	Executive Director	Not Applicable			05-10-1961	NA		01-11-2019				1	0	1	0		
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I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	Gaurang Shah	AAFPS3900A	00016660	Executive Director	Not Applicable		25-10-1961	NA		01-11-2019				1	0	1	0		
6	Mr	C Jayaram	ACLJP5511E	00012214	Non-Executive - Non Independent Director	Not Applicable		18-04-1956	NA		01-10-1999	01-05-2016			2	1	3	0		
7	Mr	S Mahendra Dev	AAKPS6713L	06519869	Non-Executive - Independent Director	Not Applicable		27-09-1957	NA		15-03-2013	15-03-2018		75	1	1	2	1		
8	Ms	Farida Khambata	ALJPK1909N	06954123	Non-Executive - Independent Director	Not Applicable		11-12-1949	NA		07-09-2014	07-09-2019		70	1	1	0	0		

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Wether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
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														Listing Regulations)	Listing Regulations	Listing Regulations)	Regulation 26(1) of Listing Regulations)			
9	Mr	Uday Khanna	AFEPK7061E	00079129	Non-Executive - Independent Director	Not Applicable		01-12-1949	NA		16-09-2016	16-09-2016		45	4	4	2	3		
10	Mr	Uday Shankar	AAXP57273C	01755963	Non-Executive - Independent Director	Not Applicable		16-09-1961	NA		16-03-2019	16-03-2019		15	1	1	1	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00079129	Uday Khanna	Non-Executive - Independent Director	Chairperson	16-09-2016		Textual Information(1)
2	00196106	Prakash Apte	Non-Executive - Independent Director	Member	15-03-2013		
3	06519869	S Mahendra Dev	Non-Executive - Independent Director	Member	30-04-2014		

Sr Text Block	
Textual Information(1)	Mr. Uday Khanna was appointed as Chairman of the Audit Committee with effect from 20th July 2018.

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06954123	Farida Khambata	Non-Executive - Independent Director	Chairperson	14-03-2019		
2	00196106	Prakash Apte	Non-Executive - Independent Director	Member	30-04-2014		
3	00012214	C Jayaram	Non-Executive - Non Independent Director	Member	21-06-2018		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

1	06519869	S Mahendra Dev	Non-Executive - Independent Director	Chairperson	15-03-2013		
2	00007467	Uday Kotak	Executive Director	Member	30-01-2003		
3	00004771	Dipak Gupta	Executive Director	Member	29-06-2001		
4	00012214	C Jayaram	Non-Executive - Non Independent Director	Member	30-04-2019		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00196106	Prakash Apte	Non-Executive - Independent Director	Chairperson	14-03-2019		
2	00007467	Uday Kotak	Executive Director	Member	26-02-2003		
3	00004771	Dipak Gupta	Executive Director	Member	30-04-2014		
4	00016660	Gaurang Shah	Executive Director	Member	01-04-2018		
5	99999999	Paul Parambi	Member	Member	11-11-2019		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00012214	C Jayaram	Non-Executive - Non Independent Director	Chairperson	14-03-2014		Textual Information(1)
2	06519869	S Mahendra Dev	Non-Executive - Independent Director	Member	14-03-2014		
3	00004771	Dipak Gupta	Executive Director	Member	14-03-2014		

Sr Text Block	
Textual Information(1)	Chairman elected at every CSR Committee Meeting.

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1	
Annexure 1	
III. Meeting of Board of Directors	
Disclosure of notes on meeting of board	

of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	20-01-2020				Yes	9	4
2	29-01-2020		8		Yes	10	5
3	10-02-2020		11		Yes	10	5
4	12-03-2020		30		Yes	9	5
5	17-03-2020		4		Yes	10	5
6	31-03-2020		13		Yes	10	5
7		22-04-2020	21		Yes	10	5
8		13-05-2020	20		Yes	10	5
9		30-06-2020	47		Yes	10	5

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	20-01-2020				Yes	3	3
2	Audit Committee	26-02-2020	36			Yes	3	3
3	Audit Committee	13-05-2020	76			Yes	3	3
4	Nomination and remuneration committee	07-05-2020				Yes	3	2
5	Risk Management Committee	26-06-2020				Yes	4	1
6	Corporate Social Responsibility Committee	03-02-2020				Yes	3	1

Annexure 1								
IV. Meeting of Committees								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
7	Corporate Social Responsibility Committee	29-03-2020	54			Yes	3	1

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes

8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
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Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Bina Chandarana
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	Bina Chandarana
Designation of person	Company Secretary and Compliance Officer
Place	MUMBAI
Date	13-07-2020

ANNEXURE I – NSE

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Kotak Mahindra Bank Limited**
 2. Quarter ending - **30-Jun-2020**

i. Composition Of Board Of Director

T i t l e (M r / M s)	Name of the Dir ect or	D I N	P A N	Cat ego ry (Ch air per son / Exe cut iv e/ No n- Exe cut iv e/ In de pen dent/ Nom inee)	S u b C a t e g o r y	Ini tia l D a t e of A p p o i n t m e n t	Dat e of App oint me nt	Dat e of ces sati on	T e n u r e	D a t e of Bir th	Wh eth er spe cial res olut ion pas sed ?	D a t e of p a ss in g s p e ci al res olut ion	N o. of Di rec tor s hi p in lis ted ent itie s in clu ding this lis ted ent ity	No of In de pen dent Dir ect or ship in lis ted ent itie s in clu ding this lis ted ent ity	No of me m ber s hi ps in Au dit/ Sta keh old er Co m mit tee(s) in clu ding this lis ted ent ity	No of po st of Ch air per son in Au dit/ St ak eh old er Co m mit tee hel d in lis ted ent itie s in clu ding this lis ted ent ity	Me m ber shi p in Co m mit tee s of the Co m pa ny	Rem arks
M r.	Pra kas h Apt e	0	A A B P A A 3 1	ID,C & NED		18- Ma r- 201 1	18- Mar- 2019		7 5	0 6- May - 1 9	NA		2	2	4	2	AC,R C,NR C	

		06	73H						54								
M r.	Uday Kotak	0007467999F	AAABPK48699F	ED	CEO - MD	21-Nov-1985	01-Jan-2018			15-Mar-1959	NA	1	0	1	1	SC,RC	
M r.	Dipak Gupta	0004771	AAAPG67087F	ED		01-Oct-1999	01-Jan-2018			13-Feb-1961	NA	1	0	3	0	SC,RC	
M r.	KVS Manian	000317944	AOPSP469G	ED		01-Nov-2019				05-Oct-1961	NA	1	0	1	0		
M r.	Gaurang Shah	0006600A	AAFPSS3900A	ED		01-Nov-2019				25-Oct-1961	NA	1	0	1	0	RMC	
M r.	C Jayaram	000122	ACL PJ551	NED		01-Oct-1999	01-May-2016			18-Apr-19	NA	2	1	3	0	SC,NRC	

		14	1E						56								
M r.	S Mahendra Dev	065198679	A A K P S 6 7 1 3 L	ID		15-Mar-2013	15-Mar-2018		75	27-Sep-1957	NA		1	1	2	1	AC,SC
M s.	Farida Khambata	06954123	A L J P K 1 9 0 9 N	ID		07-Sep-2014	07-Sep-2019		70	11-Dec-1949	NA		1	1	0	0	NRC
M r.	Uday Khanna	0079129	A F E P K 7 0 6 1 E	ID		16-Sep-2016	16-Sep-2016		45	01-Dec-1949	NA		4	4	2	3	AC
M r.	Uday Shankar	0175963	A A X P S 7 2 7 3 C	ID		16-Mar-2019	16-Mar-2019		15	16-Sep-1961	NA		1	1	1	0	

Company Remarks	
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. **Composition of Committees**

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Uday Khanna	ID	Chairperson	16-Sep-2016	
2	Prakash Apte	ID,C & NED	Member	15-Mar-2013	
3	S Mahendra Dev	ID	Member	30-Apr-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	S Mahendra Dev	ID	Chairperson	15-Mar-2013	
2	Uday Kotak	ED	Member	30-Jan-2003	
3	Dipak Gupta	ED	Member	29-Jun-2001	
4	C Jayaram	NED	Member	30-Apr-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Prakash Apte	ID,C & NED	Chairperson	14-Mar-2019	
2	Uday Kotak	ED	Member	26-Feb-2003	
3	Dipak Gupta	ED	Member	30-Apr-2014	
4	Gaurang Shah	ED	Member	01-Apr-2018	
5	Paul Parambi	Member	Member	11-Nov-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Farida Khambata	ID	Chairperson	14-Mar-2019	
2	Prakash Apte	ID,C & NED	Member	30-Apr-2014	
3	C Jayaram	NED	Member	21-Jun-2018	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
20-Jan-2020	22-Apr-2020	Yes	10	5
29-Jan-2020	13-May-2020	Yes	10	5
10-Feb-2020	30-Jun-2020	Yes	10	5
12-Mar-2020		Yes	9	5
17-Mar-2020		Yes	10	5
31-Mar-2020		Yes	10	5

Company Remarks	
Maximum gap between any two consecutive (in number of days)	47

iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	20-Jan-2020		Yes	3	3
Audit Committee	26-Feb-2020		Yes	3	3
Audit Committee		13-May-2020	Yes	3	3
Nomination & Remuneration Committee		07-May-2020	Yes	3	2
Risk Management Committee		26-Jun-2020	Yes	4	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	76

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **Bina Chandarana**
Designation : **Company Secretary & Compliance Officer**