



Kotak Mahindra Bank

14<sup>th</sup> October 2019

<p>The Manager Corporate Relationship, BSE Limited, 1<sup>st</sup> Floor, New Trading Ring, Rotunda Building, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400 001.</p>	<p>The Manager National Stock Exchange of India Ltd. Exchange Plaza, 5<sup>th</sup> Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051.</p>
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Dear Sir,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from a Practicing Company Secretary for the half year ended 30<sup>th</sup> September 2019, as required under Regulation 40(9) of the said Regulations.

Kindly acknowledge receipt.

Yours faithfully,  
**Kotak Mahindra Bank Limited**

*B.R. Chandarana*  
**Bina Chandarana**  
**Company Secretary &**  
**Sr. Executive Vice President**

Encl: as above.



**Kotak Mahindra Bank Ltd.**  
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G Block, BKC  
Bandra, Mumbai - 400 051

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www.kotak.com

Registered Office:  
27 BKC, C 27, G Block,  
Bandra Kurla Complex,  
Bandra (E), Mumbai 400051,  
Maharashtra, India.



**S ANAND SS RAO**

M.Com., LL.B., F.C.S

**COMPANY SECRETARY IN PRACTICE**

Flat No.511, Kanchanjunga  
Aditya Enclave, Ameerpet,  
Hyderabad – 500038.

Mobile : 092461 53677

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI ((Listing Obligations & Disclosure Requirements) 2015.**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER 2019.**

I have examined all share transfer deeds, Memorandum of Transfers (MOT's) , Registers, Files and, other Documents and Papers relating to **M/s. KOTAK MAHINDRA BANK LIMITED,(the Company)**, maintained by M/s. Karvy Fintech Private Limited (Formerly known as Karvy Computershare Private Limited) at Hyderabad, pertaining to transfer of Equity shares of the company for the half year ended on **30<sup>th</sup> SEPTEMBER 2019** for the purpose of issuing a compliance Certificate as per the **Regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) 2015** and to the best of my knowledge, I hereby certify that the company has delivered during the Half Year period ending **30<sup>th</sup> SEPTEMBER 2019**.

- a. Share certificates relating to share transfers received during the period from **01-04-2019 to 30-09-2019** as entered in the Memorandum of Transfers (MOT'S) have been issued within **30** days from the respective date of lodgment of each transfer deed.( except those rejected on technical grounds).
- b. Share Certificates in respect of requests for sub-division, consolidation, exchange of old certificates, split, renewal have been issued within **30** days from the respective date of lodgment.

**S. Anand SS Rao**

**Company Secretary in Practice,  
CP No.5687/ FCS NO.5716.**

Place: Hyderabad,

Date: 14 10 2019.

**UDIN number F005716A000063499**

