

Request for change in Mode of Operation & Communication in Jointly Held Individual Demat Account(s)

 Date:

 DP ID: IN300610
 IN302814

To

Kotak Mahindra Bank Ltd.

Depository Operations

 Kotak Infinity, 5th Floor, Building No. 21, Infinity Park,
 Off Western Express Highway, General AK Vaidya Marg,
 Malad (E), Mumbai – 400 097

 Client ID:

Dear Sir / Madam,

 I/We account holder(s) in the above-mentioned Depository Account(s) held with **Kotak Mahindra Bank Ltd.**, request you to update the Mode of Operation as per the details provided below :-

<input type="checkbox"/> Change in Mode of Operation (Please tick any one of the below options)	<input type="checkbox"/> Opt-Out from "Anyone of the holder or survivors(s)" Mode of Operation
<input type="checkbox"/> Jointly	
<input type="checkbox"/> Anyone of the holder or survivors(s)	
Communication to be sent to	<input type="checkbox"/> First Holder <input type="checkbox"/> All joint account holders

Notes :

1. Mode of operation applicable only for the below transactions. Remaining instructions should be signed by all holders of the Demat Account. The request form is to be signed by all holders in the Demat Account
 - Transfer of Securities including Inter-Depository Transfer
 - Pledge / Hypothecation / Margin Pledge / Margin re-pledge (Creation, closure and Invocation and Confirmation thereof, as applicable)
 - Freeze / Unfreeze Account and / or the International Securities Identification Number (ISIN) and / or a specific number of Securities
2. Opt-Out from 'Anyone of the holder or survivors(s)' can be signed by ANY OF THE JOINT HOLDER
3. In case if 'first holder' is selected, the communication will be sent as per the preference mentioned. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned and communication to other holders will be in electronic mode. If no option is selected, the default option will be 'communication to the first holder'

Signature(s)

 (First Holder)

 (Second Holder)

 (Third Holder)

Customer Acknowledgement Copy: (Applicable if submitted at the branch)

We hereby acknowledge the receipt of your request for updating Mode of operation in your depository account

 DP ID: IN300610; DP ID: IN302814

 Client ID:

Name of Holder: _____

Signature of the Bank Official: _____

Date: _____

Seal / Stamp of the Participant: _____