

AUTHORISED SIGNATORY- Mandate Beneficiary Owner % of shares

*Fields are Mandatory

Existing CRN Yes No (Please provide CRN) No (Please fill the details below)

Name (Title) (First Name) (Middle Name) (Last Name)
(Upto 40 characters only)

*DOB DD MM YYYY Nationality Indian Others _____

*Gender Male Female Third Marital Status Single Married Others

*Mother's Maiden Name

Passport No. #PAN

Driving Licence Voter ID

Aadhar

Designation

*Mobile No. (All alerts will be sent to the preferred Mobile Number & e-mail Id)

*Email ID

Paste your latest passport size photograph here
Do not sign across the photograph

Signature & stamp of the Organisation To be signed in Black ink only

*Name _____

Short name will appear on the debit card. No nicknames allowed

Preferably the Passport size photograph against the red background should be attached

*Mobile No & *Email Id as provided will be considered as 'Preferred'

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ACCOUNT STATEMENT OPTIONS

(by default is monthly)

CHEQUE BOOK OPTIONS

Email (Monthly) OR Physical Copy (Monthly) OR Both

Yes No
(Subject to product eligibility)

Kindly refer to Bank's Schedule of charges for any charges applicable

CHANNEL ACCESS REQUEST (Not applicable for Co-operative Bank Customers)

I wish to apply for access to the following channels

	1st APPLICANT / AUS		2nd APPLICANT / AUS		3rd APPLICANT / AUS	
	NB	DC#	NB	DC#	NB	DC#
Transaction Rights	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
View Rights	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Channel access will be granted only to the person specifically authorised for the purpose.

#Default Debit Card will be EMV Chip and PIN enabled for International usage.

Existing/Future accounts will be linked by default to Debit Card.

NB - Net Banking; **DC** - Debit Card

Deposit Accounts,
 Include Current /Savings / Term Deposits

To apply for EMV Chip and PIN Based Cards for Domestic usage only, Please tick
CREDIT FACILITIES (Not applicable for Co-operative Bank Customers)

 I/We declare that I/We do not enjoy credit facilities with other bank(s).

 I/We enjoy credit facility/have current accounts with other bank(s).

Bank	Address	Name of Facility	Account No.	Amount Rs.



Please attach the NOC and details of such facilities separately as per format available with the branch.


NOMINATION
For sole proprietorship account, kindly submit a separate Nomination Form (DA1)

For Sole Proprietorship firms and not applicable for any other entity


SMS BANKING & ALERT FACILITY

Alert Activation		Alert Mode	
Yes	No	SMS	Email
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Bank will send all alerts to authorized signatories preferred mobile number and E-Mail address mentioned in this form, irrespective of the mode of operation. Regulatory and Risk alerts will be sent by the Bank by default.

Alerts that have been mandated by RBI and such alerts as deemed appropriate by the Bank will be sent even if you have not subscribed for this facility.

 The bank will also use these details for sending out transaction information and updates about Product and Services. In case you do not wish to receive information / updates, you can register yourself for Do Not Call on the Bank's website www.kotak.com
Customer Profile Sheet (For Non Individuals Current Accounts)
Entity Information :

Company Name : _____ Constitution : _____

Age of Entity : _____ (in months) Office Number 1: _____ Office Number 2: _____ Fax No.: _____

Business Information :

Last 2 years Turnover (in lacs) : _____ (Current FY Projected) _____ (Previous FY Actual)

Nature of Transactions (please tick)

 Cash Deposit Withdrawal DD / BC Kotak Locations Non Kotak Locations

 Trade Import Export Both Last Year Exp./Imp. Turnover / Other Forex remittances: _____

Industry Sector
 Manufacturing Trading Financial Services Hospitality Export

 Import Agriculture IT/Software Others _____ *Please specify*
Customer Banking Information :

Current Bankers :

- _____
- _____
- _____

Facilities Using :

- | | |
|-------------------------------------|---------------------------------------|
| <input type="checkbox"/> CA | <input type="checkbox"/> DD/BC |
| <input type="checkbox"/> Trade & Fx | <input type="checkbox"/> Home Banking |
| <input type="checkbox"/> NEFT/RTGS | <input type="checkbox"/> CC/OD |
| <input type="checkbox"/> CMS | <input type="checkbox"/> Cash Txn |

Any Special Service : _____

Value of Total Relationship (in lacs) : _____

Other Group Associate Co : _____

Declaration (applicable in case CRN is to be created)

Certified that the details mentioned in this profile sheet are true to the best of my knowledge and that the person mentioned above is not engaged in criminal/fraudulent activity. The information above complies with the account opening and funding requirement as per present internal procedures and the KYC aAML policy of the Bank and I undertake to inform the bank in case of knowledge of negative information on the customer or the customer's appearance in local press/media or from any other reliable source.

Name: _____

Checked by (Emp Code & Sign) _____

Do insist on your Customer acknowledgment slip attached below. DO NOT forget to countersign on the Bank section of the acknowledgment slip

CUSTOMER COPY
KBAO-0001234536

Please provide this number for future reference

 Nomination Form Received: Yes No

Name of Bank Official & employee code : _____

Branch : _____

Date : _____ Signature of Bank Official _____

The A/c will be opened subject to verification of documents

Mandatory as per RBI Guidelines

 Nomination Form Submitted: Yes No

 Date :
 (Form submission to Bank Official) (Customer's Signature)

FOR BANK USE ONLY
KBAO-0001234536

FOR BANK USE ONLY

OPTY ID _____ Category D
 Account Sourcing Date
 *RM Code _____ *LOB Segment CB
 Checked by Sales Official _____ Sign & Code _____ Politically Exposed Yes No
 Designation _____ Risk Profile: High Medium Low

RPC USE

RE KYC YES NO FATCA Received YES NO FATCA Reportable YES NO

INDICATIVE LIST OF DOCUMENTS

Indicative list of documents that can be provide for opening the bank account

A) Document: • For **Pvt. Limited and Limited Company** 1) Board Resolution/Authority Letter 2) Memorandum & Articles of Association 3) Certificate of Incorporation 4) Certificate of Commencement of Business (Public Limited Company) 5) Form 32 in case list of directors are not original subscribers to Memorandum & Articles of Association (in case of Private Limited Cos) duly acknowledged by ROC 6) Governing Act/Rules and Regulations (PSUs) (cert. true copy) • For **Trust/Association/Society/Club** 1) Registration, if any (cert. true copy) 2) Copy of Governing body resolution 3) Bye Laws (cert. true copy) if model bye laws of RCS have been adopted, Certification to that effect 4) Trust Deed • For 1) For **Partnership** a) Partnership Deed (cert. true copy) b) Basic Charter c) Partnership Declaration d) PAN Card 2) For **Sole Proprietor** a) Shops & Establishment License b) Sole Proprietorship Declaration c) Acknowledged Copy/fee paid challan for application Service Tax Challan d) Acknowledged IT Return in the name of Sole Proprietor

B) Identification & Signature Proof: • PAN (Income Tax) Card • Driving License • Passport • Aadhar card

Note:

• Documents from List A to be submitted (as applicable) • Any one document from List B to be submitted in respect of each Authorised signatory. Documents should be submitted in original along with a photocopy. Original document will be returned after verification. • This is not an exhaustive list and in case of non-availability of the above documents, please contact your bank official for a complete list of acceptable documents.

PLEASE NOTE

1. This form should be accompanied by the Resolution of the Board/Managing Committee in case of Limited Companies, Trusts, Societies, Associations and Clubs; partnership letter in case of partnerships. Distinct Board/Managing Committee Resolution and Partnership Letter is to be provided for each Deposit and/or Demat Account, as applicable. **2.** In case of Partnerships, Limited Companies, Trusts, Societies, Associations, and Clubs, person(s) with conditional mode of operation / authority will get only non-financial transactions on Net Banking and Phone Banking will not get Payment Gateway access. **3.** In case of Partnerships, Limited Companies, Trusts, Societies, Associations, and Clubs all signatures should be accompanied by stamp of the organization, as applicable. **4.** The Channel Access for Investment Account(s) is restricted to enquiry rights on Phone Banking and view and transaction rights Net Banking. **5.** For transaction rights on these account(s) a Power of Attorney in favour of Bank has to be duly executed and authorized person should have an unconditional operating authority. **6.** In case of Partnerships, Limited Companies, Trusts, Societies, Associations and Clubs, Investment Account(s) transaction rights on Net Banking/Debit Cards will be granted only to person(s) with unconditional mode of operation / authority. **7.** In case of Partnership Limited Companies, Trusts, Societies, Association, and Clubs Debit Cards will be issued only to person(s) with unconditional mode of operation/authority. **8.** Proprietor of a Proprietorship concern and karta of an HUF will get both financial and non-financial transactions on Net Banking, Phone Banking. They are also eligible for Debit Cards and Payment Gateway access. **9.** Net Banking and Phone Banking access is applicable for all Investment Account(s) existing or to be opened in future for the Organisation. **10.** The channel access for Demat Account is restricted to view or enquiry rights on Net Banking and Phone Banking. **11.** The Net Banking, Phone Banking, Payment Gateway access is applicable for all Deposit Account existing or to be opened in future for the Organisation. **12.** Payment Gateway facility is provided as per the terms and conditions of the Bank and regulatory rules as applicable from time to time. **13.** The customer reiterates that he/she shall be continued to be governed by the terms and conditions of the Bank. **14.** Photo copies, need to be self-attested by the applicant. **15.** All alerts, e-news letter and promotional mails will be sent to the preferred mobile number and e-mail ID. **16.** Mobile Number will be used for SMS Banking registration for eligible accounts.


CUSTOMER DECLARATION

The particulars contained herein shall be valid for all accounts opened by me/us or to be opened by me/us hereafter either singly or with other(s) and/or by me/us in any representative capacity with the Bank unless informed to you otherwise. I/We have read/obtained and understood the Terms and Conditions and citizen charter governing the opening of an account with Kotak Mahindra Bank Ltd. (the Bank), and those relating to various Services including but not limited to (a) ATMs (b) Phone Banking (c) Debit Card (d) Net Banking (e) Mobile Banking (f) Payment Gateway (g) Kotak BillPay (h) SMS Banking (i) Alerts Service (j) Opening of an Investment Account. I/We understand that the Bank may at its absolute discretion, discontinue any of the Services completely or partially without any notice to me/us. I/We agree that the Bank may debit my account for service charges as applicable from time to time. I/We understand that investment products are not bank objects or other obligations of or guaranteed or insured by Kotak Mahindra Bank Ltd. or their affiliates. They are subject to risk and possible loss of principal. Past Performance is not indicative of future performance. I/We hereby declare the above information is true to the best of my/our knowledge. I/We shall advise the Bank immediately in the manner as agreed by me/us and acceptable to the Bank, in case of any change in the above details and information given by me/us. I/We understand and agree that Payment gateway will be activated by default for the customers registered for Net Banking with unconditional transaction rights. I/We understand and agree that Net Banking, Phone Banking access, if applied for, is applicable to all deposit accounts, existing or to be opened in future. I/We understand and agree that Existing/future accounts will be linked by default to Debit Card/Payment gateway and for Delinking the Debit card / Payment gateway from the account I/We have to visit the nearest / home branch and place the request. I/We have read and understood the terms and conditions available at Bank's web-site: www.kotak.com **Mandate for Investment Accounts:** I/We hereby authorize you to transfer funds in any form and manner including but not limited to by way of debit/credit of my/our account(s) and issue pay-orders/demand drafts/bankers cheques from my/our account for the purchase, redemption, switch receipt of dividends or any such acts for Mutual Fund unit in pursuance of the instruction given by me/us or my Attorney from time to time. I/We hereby state that all the Acts, deeds and things done by you based on such instruction shall be binding on me/us. This mandate by me/us to be adhered to by the Bank in respect of all actions permitted by the RBI and/or relevant regulations applicable from time to time. I/We have been disclosed all the commissions (in the form of trail commission or any other mode), payable to the bank for the different competing Schemes of various Mutual Funds from amongst which the above Scheme/s is being recommended to me/us. Purchase and purchase type transactions in equity mutual funds are liable to charges as per the tariff structure of the Bank, plus applicable service tax and education cess.

For Corporate Customers: I/We have read and understood the Kotak Mahindra Bank account terms and conditions. I/We accept and agree to be bound by the said terms and conditions including those excluding / limiting your liability. I/We agree that the Bank may debit my/our account for service charges as applicable from time to time.

 **Phone Banking**
 1800 - 209 - 2011

 **Net Banking**
 www.kotak.com

 **Letters**
 Kotak Mahindra Bank, P.O. Box - 16344, Mumbai - 400 013.

 **Email**
 sesoindia@kotak.com