

**ADDITIONAL UBO FORM**

Note – The information in this section is being collected because of enhancements to Kotak Mahindra Bank's new account on-boarding procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962:

[http://www.incometaxindia.gov.in/dtaa/other%20agreements/india\\_iga\\_final-india\\_english.pdf](http://www.incometaxindia.gov.in/dtaa/other%20agreements/india_iga_final-india_english.pdf)

<http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf>

**FATCA / CRS declaration and details for entities**

(We are unable to provide advice about your FATCA classification or interpretation of any terms.

Please therefore seek advice from a tax professional on any FATCA aspects)

<b>Office / Bank use only</b> OPTY ID / SR Number : _____
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**Details of UBO (All fields are mandatory)**

Name of UBO	Country of Tax residency	Nationality	Residence address for tax purposes	Fathers name (If PAN not available)	Tax identification number or equivalent & Tax identification / functional equivalent document	Identification document: Passport/ PAN etc.	City and Country of Birth	UBO Code (For clarification, refer Instruction 7 in the Annexure)

<b>Declaration &amp; Acknowledgement</b>	<p>I _____ being the beneficial owner of the account opened / to be opened with Kotak Mahindra Bank Limited and the income credited therein declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed</p> <p>I acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self-certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me, I ensure that I will advise the Bank promptly, i.e., within 30 days.</p> <p>Towards compliance with such laws, the Bank may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Bank may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).</p> <p>I understand that any inaccurate, incomplete or false disclosure of statement of financial transaction or reportable account by me would lead to penal consequence on the Bank under applicable law. The Bank shall be entitled to take any necessary action and recover from me such amount levied due to such inaccuracy, incompleteness or false disclosure. I shall indemnify the Bank in respect of all or any liabilities incurred by Bank, by reason of any of the information or particulars given by me, being incorrect or false or being suppressed or omitted.</p>				
<b>Customer / Authorized person Signature &amp; Designation</b>					
<b>Date</b>					

**Bank use section**

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