

PLEASE FILL THE FORM IN BLOCK LETTERS AND BLACK INK

Preferred Home Branch _____

 Employee Code Applicable only for kotak bank Staff

 OPTY ID

910004RINCRF

 Purpose ☐ Savings Account ☐ Current Account ☐ Deposits ☐ Third Party Products ☐ Other Services _____

PERSONAL DETAILS

*Fields are Mandatory

Existing CRN

☐ YES

☐ NO (Please fill the below details)

 *CKYCR ☐ New ☐ Existing C-KYCR No

*Name Title (First Name) (Middle Name) (Last Name) (Upto 40 characters only)

 Maiden Name (First Name) (Last Name) *Mother's Maiden Name (First Name) (Last Name)
(applicable to married woman, documentary proof required) (Mention Mother's Pre-Marriage Name)

 *DOB ☐ Minor ☐ Senior Citizen *Father / *Spouse Name (First Name) (Last Name)
(If PAN not available Father's Name Mandatory)

 *Residential Status ☐ Residential Indian ☐ Foreign National *Citizenship ☐ Indian ☐ Others _____

 *Religion ☐ Hindu ☐ Muslim ☐ Christian ☐ Sikh ☐ Zoroastrian ☐ Others _____

 Category ☐ General ☐ OBC ☐ SC ☐ ST *Gender ☐ M ☐ F ☐ Third Gender *Marital Status ☐ Single ☐ Married ☐ Others _____

 *Annual Income ☐ 0 - 2 lakhs ☐ > 2 - 5 lakhs ☐ > 5 - 10 lakhs ☐ > 10 - 25 lakhs ☐ > 25 - 50 lakhs ☐ 50 lakhs - 1 Crore ☐ > 1 Crore

*Occupation Type	*Major Source of Income / Wealth
<input type="checkbox"/> Retired	<input type="checkbox"/> Pension <input type="checkbox"/> Investment income <input type="checkbox"/> Rental income <input type="checkbox"/> Family income
<input type="checkbox"/> Home Maker	<input type="checkbox"/> Family income <input type="checkbox"/> Investment income <input type="checkbox"/> Rental income
<input type="checkbox"/> Student	<input type="checkbox"/> Family income <input type="checkbox"/> Investment income
<input type="checkbox"/> Business/ Profession/ Self-Employed	<input type="checkbox"/> Business Income/Profession
<input type="checkbox"/> Farmer	<input type="checkbox"/> Agricultural Income
Service - <input type="checkbox"/> Public <input type="checkbox"/> Private <input type="checkbox"/> Govt	<input type="checkbox"/> Salary

Applicable only for Current Account :	Industry Description	Bank Use
Turnover (In current FY): 		Industry code

Permanent Address (Upto 90 characters only)

 Line 1
 Line 2
 Line 3 / Landmark
 *City *PIN Code
 *State
☐ Residence Address ☐ Office Address ☐ Residence Address Same as Permanent Address (Upto 90 characters only)

 Line 1
 Line 2
 Line 3 / Landmark
 *City *PIN Code
 *State

 Preferred Mobile No. ☐ Do Not have ☐ Do not want to disclose Alternate Mobile No.

*This will be preferred mobile number for all communications from bank. Bank will send transaction alert, critical information like OTP, etc on this mobile number.

 Preferred Email ID
(Monthly e-statements & alerts will be sent on this Email ID. Google Servers do not recognise periods (.) & (,) used in Gmail IDs. This may result in mails been received by someone else with similar ID & Bank shall not be responsible for the same)

 *Preferred Correspondence Address ☐ Permanent Address ☐ Residence Address ☐ Office Address

FATCA / CRS Declaration

Part A		Yes	No
a.	Are you Citizen of any country other than India (dual multiple) [including Green card]	<input type="checkbox"/>	<input type="checkbox"/>
b.	Is your Country of birth is any country other than India	<input type="checkbox"/>	<input type="checkbox"/>
c.	Are you Tax resident of ANY country ies other than India	<input type="checkbox"/>	<input type="checkbox"/>
d.	Do you have POA or a mandate holder who has an address outside India	<input type="checkbox"/>	<input type="checkbox"/>
e.	Is your Address or telephone number outside India	<input type="checkbox"/>	<input type="checkbox"/>

If your answer to any of the above questions is a 'YES', please fill Part B

Part B		
*Address for Tax Residence _____		
*Country _____ Place within the _____		*City _____
*Country of Birth _____ Country of Birth _____ <small>(In case Country of Birth is USA, however Nationality and Country of Tax Residency is other than USA, please provide documentary evidence)</small>		
Source of Wealth _____		Nationality _____
<small>Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers.</small>		
*Country of Tax residency	Tax identification Number ²	Tax identification Document (TIN or functional equivalent)
<small>¹It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below:</small>		

I being the beneficial owner of the account opened/to be opened with Kotak Mahindra Bank Limited and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed. I acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I ensure that I will intimate the Bank promptly, i.e., within 30 days. Towards compliance with such laws, the Bank may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate with holding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Bank may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s). I also understand that the account will be reported if any one of the aforesaid FATCA/CRS criteria for any of the account holders. primary or joint are met. Any inaccurate, incomplete or false disclosure of statement of financial transaction or reportable account by me would lead to penal consequence on the Bank under applicable law. The Bank shall be entitled to recover from me such amount levied due to such inaccuracy incompleteness or false disclosure. I will indemnify the Bank in respect of all or any liabilities incurred by Bank, by reason of any of the information or particulars given by me, being incorrect or false or being suppressed or omitted

SMS BANKING & ALERT FACILITY

Please select either (a) or (b) as per your requirement

Alert Type		SMS	E-mail
a)	Daily Balance + Transaction and Value Added Alerts	<input type="checkbox"/>	<input type="checkbox"/>

OR

Alert Type		SMS	E-mail
b)	Weekly Balance + Transaction and Value Added Alerts	<input type="checkbox"/>	<input type="checkbox"/>

CHANNEL ACCESS REQUEST

	Phone Banking	Net Banking	Debit Card #
Deposit Account(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Special Debit Card Type	
<input type="checkbox"/> Yes, I wish to personalize my Debit card with an image	Image Code <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> (To be filled by Branch, if applied for Image Card)

#Default Debit Card will be EMV Chip & PIN enabled for Domestic PoS (retail outlet) /ATM usage only. Please visit Mobile /Net Banking or nearest Branch to put up a request to activate other transaction types like domestic e-Commerce (online payment). Contactless(Tap & Pay) and International transactionon your Debit Card.
For Privy League Customers default Debit card will be Privy League Platinum/Signature/Infinite Chip Card depending upon the programme chosen

CUSTOMER DECLARATION

SIGNATURE / THUMB IMPRESSION & PHOTOGRAPH

I agree that: (1) The information I provided is correct to the best of my knowledge. (2) False or suppressed information may lead to the Bank withholding or declining my transactions. I authorize the Bank to: (1) Verify my credit history with CIBIL or any other credit rating agency. (2) Share my number, email id, transaction and demographic details, with Bank's vendors for transaction processing or regulatory and statutory authorities, whenever needed. (3) Update my details in the Bank's records based on the documents submitted by me. (4) Send me information on my registered mobile or email id from the Central KYC registry or update my KYC information, as per the directions of the Government of India. (5) Open my account using the name registered with UIDAI (Aadhaar) or the Income Tax Department (PAN), in place of any name mentioned on this form. SMS Banking and alerts: I understand that: (1) Signing up for SMS alerts will allow the Bank to send me account transaction and balance information on my email id and/or mobile number. New alerts may be added from time to time. (2) The Bank or its partners may call, SMS or email me information or seek feedback related to the Bank's products and services. (3) I may withdraw my consent at any time, in writing, or by registering for 'Do not call on the Bank's website - www.kotak.com (4) Some mandatory alerts, or some deemed important by the Bank, will be sent even if I have not subscribed for them. (5) All alerts will be sent to the First Holder or Guardian for Individuals Accounts and to all Holders for Joint Accounts. (6) Charges for these services will be as mentioned in the General Schedule of Fees and Charges.
Mobile Banking: I understand that Mobile Banking services will be activated if I opted for Net Banking or a Debit Card. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only. As per RBI master direction of KYC, in case of any change in the documents submitted by me at the time of establishment of business relationship / account-based relationship and thereafter, as necessary; I shall submit to the Bank the updated documents 30 days of the change for the purpose of updating the records at Bank's end.
I / We, give my / our consent to download my / our KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my / our identity and address from the database of CKYCR Registry. I / We understand that my / our KYC Record includes my KYC Records / Personal information such as my / our name, address, date of birth, PAN number etc. Further I/We also understand that this information will be used to establish new relationship as well as for updation / periodic updation in the Bank records. I/We authorize the Bank to share my/our KYC records submitted during establishment of relationship / updation / periodic updation in the Bank records as per extent regulations with CKYCR and also give my/ our consent to download my/ our KYC records from CKYCR & authorize the Bank to update the KYC records / information received from CKYCR basis KYC identifier.

Affix latest Coloured
Passport Size
Photo

(Please sign in Black ink only)

Documents Section (BANK USE)

KYC Verification Carried Out By (BANK USE)

Document Name	ID Proof	Address Proof
PAN	NA	NA
E-UID (AADHAAR)		
Driving License		
Driving License Expiry Date		
Voter ID Card		
Passport Number		
Passport Expiry Date		
NREGA Job Card		
Letter Issued by National Population Register		
Number:		
Simplified Document (Address Proof)		
<input type="checkbox"/> Utility bill		
<input type="checkbox"/> Property or Municipal Tax Receipt		
<input type="checkbox"/> Letter of Allotment of accommodation from employer issue by state or Central Government departments		
<input type="checkbox"/> Pension or Family Pension Orders (PPO's)		
<input type="checkbox"/> Others		

Emp. Name	
Emp. Designation	
Emp. Code	Emp. Branch
Date	
Employee Sign	
Approved By	
Sales Official	Branch Official
Category:	
Customer Segment:	*Risk Profile
Additional Info	
Source Code	Promo Code
LC Code	LG Code / Initiator Code
RM Code	LOB
Customer Emp. Code	Corporate/Group Co. Code
	Branch Code
Classification	Sub-Classification
<input type="checkbox"/> Authorization Letter 1 (only for Privy)	<input type="checkbox"/> Authorization Letter 2 (only for Privy)
*Account Type	

Branch / Acquisition staff has met the customer at communication address & customer has signed the AOF & relevant documents in presence of bank employee.

Employee Name (Emp ID) & signature

RPC USE

FATCA Received	YES	FATCA Reportable	YES
Re-KYC	YES	CRN Created	