**M F Purchase Plus Systematic Transfer Plan Form**

<table>
<thead>
<tr>
<th>Details of Transfer:</th>
<th>Frequency:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Amount</td>
<td>Start Date</td>
<td>No. of Transfers</td>
</tr>
<tr>
<td>Scheme</td>
<td>Option DR/DP/G/B*</td>
<td>Folio No.</td>
</tr>
<tr>
<td>Scheme Name</td>
<td>Option DR/DP/G/B*</td>
<td>Request Ref. No.</td>
</tr>
</tbody>
</table>

*DR - Dividend Reinvestment, DP - Dividend Payout, G - Growth, B - Bonus. **P - Purchase, R - Redemption, C - Cancellation. # To be filled only in case you want to systematically transfer to an existing online folio in any Franklin Templeton Schemes.*

**For Purchase**

Setting up a Systematic Investment Plan* (STP)

I/We __________________________________________ hereby authorize Kotak Mahindra Bank Ltd. to debit my/our Kotak Mahindra Bank account as given below, towards Systematic Investment Plan into mutual fund schemes as listed in the table below:

Cancelling a Systematic Investment Plan*

I/We __________________________________________ authorize the Kotak Mahindra Bank Ltd. to cancel the Systematic Investment Plan that have been set up for the purpose of investment in the mutual fund schemes as listed in the table below:

*Tick anyone of the above

**Signature(s):**

Applicant 1:  
Applicant 2:  
Applicant 3:  

**Date:**

Applicant 1:  
Applicant 2:  
Applicant 3:  

**To,**

Kotak Mahindra Bank

For Setting up a Systematic Investment Plan*

I/We hereby authorize Kotak Mahindra Bank Ltd. to debit my/our Kotak Mahindra Bank account as given below, towards Systematic Investment Plan into mutual fund schemes as listed in the table below:

For Cancelling a Systematic Investment Plan*

I/We hereby authorize Kotak Mahindra Bank Ltd. to cancel the Systematic Investment Plan that have been set up for the purpose of investment in the mutual fund schemes as listed in the table below:

**Signature(s):**

Applicant 1:  
Applicant 2:  
Applicant 3:  

**Date:**

Applicant 1:  
Applicant 2:  
Applicant 3:  

**Please note:**

1. Folio Number is required to be mentioned only for existing online folios.
2. The application form should be completed in all respect and signed by all the applicants.
3. Transfer would be done from the investment account selected & form would be required to be signed as per the mode of operation of the investment account selected for setting up the Systematic Transfer Plan.
4. The start date of the STP should be a minimum of 7 working days from the date of submitting this form. The Systematic Transfer Plan will continue to remain in effect for the terms defined above till the same is cancelled in writing by the Account Holder(s) as per the bank norms.
5. For Cancellation of STP the request should be submitted at least 7 working days in advance from the next execution date.
6. Re-installment of the Systematic Transfer Plan, once revoked by account holder(s) is not possible unless a fresh Systematic Transfer Plan form is given by the account holder(s).
7. Notwithstanding what is mentioned herein above, it is understood that Kotak Mahindra Bank is extending such facilities to make it convenient for and facilitate the customer to make periodic investments in the Mutual Fund Schemes opted for.
8. Please refer to the Key information Memorandum and Scheme Offer Document of the respective scheme for Applicable NAV, Risk Factors, Load, STP dates, minimum amount for STP etc. of the Scheme Plan before investing.
9. Scheme details such as Scheme, plan, option should be correctly mentioned on application Forms. Any correction made on the STP form should be signed by the investor, otherwise the same will be rejected.
10. Incase of cancellations start date and number of transfers need not be mentioned.

**For Bank Use Only**

Signature Verified by:  
Entered by:  
Authorised by:  
Lead Converter:  

**FATCA declaration for Individuals/Non-Individuals**

Collected  
Already updated  

1/4
FATCA / CRS DECLARATION FOR INDIVIDUAL ACCOUNTS (Including Sole Proprietor)

Note – The information in this section is being collected because of enhancements to Kotak Mahindra Bank’s new account on-boarding procedures in order to comply with Foreign Account Tax Compliance Act (FATCA) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962.

For more information refer:

(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

Office / Bank use only
OPTY ID / SR Number : _____________________

Section I

**Part A (All fields are mandatory)**

| 1. Customer Relationship Number (CRN) [if any] | Details of Account Holder |
| 2. Name of Account Holder | |
| 3. Address for Tax Residence (include City, State, Country and Pin code) | |
| 4. Address Type (Tick whichever applicable) | (a) Residential [ ] (b) Business [ ] (c) Registered Office [ ] |
| 5. Do you satisfy any of the criteria mentioned below? | Yes [ ] No [ ] |
| a. Citizen of any country other than India (dual / multiple) [including Greencard] | |
| b. Country of birth is any country other than India | |
| c. Tax resident of ANY country / ies other than India | |
| d. POA or a mandate holder who has an address outside India | |
| e. Address or telephone number outside India | |

If your answer to any of the above questions is a ‘YES’, please fill Section II of the form, else go to declaration & acknowledgment

**Section II - Other information (Please fill in BLOCK LETTERS)**

Father’s name ___________________________ (If PAN not available, then mandatory)

Country of Birth ___________________________ Place within the Country of Birth ___________________________

*(In case Country of Birth is USA, however Nationality and Country of Tax Residency is other than USA, please provide documentary evidence as mentioned in Instruction 1)*

Source of Wealth ___________________________ Nationality ___________________________

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

<table>
<thead>
<tr>
<th>Country of Tax residency</th>
<th>Tax identification no¹</th>
<th>Tax identification document (TIN or functional equivalent)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

¹It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN (functional equivalent is yet available or has not yet been issued, please provide an explanation below: ________________________________________________________________
I ___________________________________ being the beneficial owner of the account opened / to be opened with Kotak Mahindra Bank Limited and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed.

I acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self-certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I ensure that I will intimate the Bank promptly, i.e., within 30 days.

Towards compliance with such laws, the Bank may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, the Bank may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).

I also understand that the account will be reported if any one of the aforesaid FATCA / CRS criteria for any of the account holders i.e. primary or joint are met.

<table>
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<tr>
<th>Declaration &amp; Acknowledgement</th>
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<tr>
<td>I ___________________________ being the beneficial owner of the account opened / to be opened with Kotak Mahindra Bank Limited and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed.</td>
<td></td>
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<tr>
<td>I acknowledge that towards compliance with tax information sharing laws, such as FATCA / CRS, the Bank may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the Bank does not receive a valid self-certification from me) the Bank may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I ensure that I will intimate the Bank promptly, i.e., within 30 days.</td>
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</table>

Customer Signature

Date

Bank Use Section:

Signature Verified by

Signature Verified by

Receiver's Stamp

Documents sent to CPC/RPC on 20230724
Instructions to the Form

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

<table>
<thead>
<tr>
<th>Sr no</th>
<th>FATCA/ CRS Indicia observed (ticked)</th>
<th>Documentation required for Cure of FATCA/ CRS indicia</th>
</tr>
</thead>
</table>
| 1     | U.S. place of birth (Nationality and Country of Tax Residency is other than USA) | 1. Self-certification (FATCA Declaration) that the account holder is neither a citizen of United States of America nor a resident for tax purposes;  
2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND  
3. Any one of the following documents:  
   - Certified Copy of "Certificate of Loss of Nationality or  
   - Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or  
   - Reason the customer did not obtain U.S. citizenship at birth |
| 2     | Residence/mailing address in a country other than India or Telephone number in a country other than India | 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and  
2. Documentary evidence (refer list below) |
| 3     | Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts) | 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and  
2. Documentary evidence (refer list below) |
| 4     | POA granted to a person with an address in a country outside India | 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR  
2. Documentary evidence (refer list below) |

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.