

NRI PORTFOLIO INVESTMENT SCHEME (PIS) ACCOUNT OPENING FORM

Please fill the form in BLOCK LETTERS only. Fields marked *(star) are MANDATORY

Date : Form Type : A/c No.
A/c to be opened at Branch Code Type of A/c. NRE ☐ NRO ☐ Scheme Code

A) PERSONAL DETAILS* Please open my/our Savings Bank Account. If more then one joint applicant ☐ [✓ the box] & use ADD-ON form

APPLICANT TITLE (Mr/Ms./Dr.) FULL NAME (Please leave one space between words)

PRIMARY Customer ID <input type="text"/> Date of Birth <input type="text" value="DDMMYYYY"/> Gender <input type="text"/> Married <input type="text"/> Minor <input type="text"/> Pan Card No. <input type="text"/> OCCUPATION Salaried <input type="checkbox"/> Self-employed <input type="checkbox"/> Business <input type="checkbox"/> Others (Please Specify) <input type="text"/>	PASSPORT DETAILS Passport No. <input type="text"/> Date of Issue <input type="text" value="DDMMYYYY"/> Date of Expiry <input type="text" value="DDMMYYYY"/> Place of Issue <input type="text"/> Nationality <input type="text"/> Country of Residence <input type="text"/>
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B) COMMUNICATION DETAILS * Communication to be sent to ☐ Overseas Address ☐ Indian Address (Please ✓ one)

Overseas Address
City
State Country Postal Code

Contact Details Country Code Area Code Number Mobile

(Please provide complete address for faster courier deliveries. Please note that all communications including your Cheque Book, Debit Card, Debit Card Pin Mailer and Account Statement will be sent to the communication address.)

Indian Address
City
State Country Postal Code

Contact Details STD Code Number Mobile

I wish to get periodic update from Kotak Bank on products / services. Yes ☐ No ☐ (Please ✓ one) E-mail Address

STOCK BROKER INFORMATION Broker Name <input type="text"/> Broker Code <input type="text"/> Broker Address <input type="text"/> Contact Person <input type="text"/> E-mail <input type="text"/> Tel. No. <input type="text"/> Fax No. <input type="text"/> Mobile <input type="text"/>	DEPOSITORY PARTICIPANTS INFORMATION DP Name <input type="text"/> DP ID <input type="text"/> Client ID <input type="text"/> DP Address <input type="text"/> Contact Person <input type="text"/> E-mail <input type="text"/> Tel. No. <input type="text"/> Fax No. <input type="text"/> Mobile <input type="text"/>
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DECLARATION : I/We have read and understood the Terms and Conditions governing the opening of an account with Kotak Mahindra Bank Ltd and those relating to various Services available at www.kotak.com. I/We accept and agree to abide by the same, including those excluding / limiting the Bank's liability. I/We understand that the Bank may, at its absolute discretion, discontinue any of the services completely or partially after giving prior notice. Apart from this, the current Schedule of Charges has been received by me/us and I/We agree with the same.

<div style="border: 1px solid black; padding: 5px;"> PRIMARY Please paste Passport Size colour Photograph here </div>	<div style="border: 1px solid black; padding: 5px; height: 100px; text-align: center;"> Signature of Primary Applicant </div>	<div style="border: 1px solid black; padding: 5px;"> Signature of Bank Official in whose presence signed Date : <input type="text" value="DDMMYYYY"/> EMR No. <input type="text"/> </div>
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Enclosure Details (This information must be filled-up by the branch sending AOF for automatic processing)
Number of Add-on forms enclosed : Number of Pages of KYC document enclosed :

For Office Use :	A/c. Label 1 <input type="text"/>	A/c. Label 1 <input type="text"/>
Ledger No. <input type="text"/>	A/c. Manager <input type="text"/>	<input type="text"/>
SE Code <input type="text"/>	Camp. Reference Number <input type="text"/>	<input type="text"/>
REP Code <input type="text"/>		
A/c. Report Code <input type="text"/>		
Camp. Code <input type="text"/>		

Special Instructions For CPU

Affix Special Scheme Sticker

DECLARATION BY THE BRANCH
I hereby certify that this account opening form is complete in all respect and relevant documents have been obtained and verified. The Account may please be set up in Finacle.

☐ Send the welcome kit to branch (✓ here if welcome kit to be sent to branch)

For Kotak Bank Limited

Branch Head / Authorised Signatory
S. S. Number :

Letter of Authority for PIS Account

I hereby authorize you to honour all claims in the form of contract notes for purchases received from my stock broker and _____ the information contained in this form can be shared with my broker Ms. _____ having SEBI Registration No. _____ and its Registered Office at _____, who have been authorized by me/us to execute sale and purchase transactions of shares on my/our behalf.

I hereby authorize you to debit my NREPIS / NROPIS Account No. [] to the equivalent value of the purchase contract received from the aforesaid Stock Broker and issue a banker's cheque favouring my above mentioned Stock Broker / Transfer to the above mentioned broker's account for the purchase value as and when such purchase contract are presented by the above broker to the Bank. I further authorize you to accept and credit to my account cheques / transfer received from the said broker representing sale proceeds of shares sold on my behalf.

I hereby authorize you to allow my above mentioned broker to have a "view only" access to my PIS account.

I hereby authorize you to disclose the outstanding balance in my above account to my above mentioned broker whenever required by them.

I hereby authorize you to debit my SB NRE / SB NRO Account No. [] and transfer the funds to my/our above NRE PIS / NRO PIS Account as and when funds are required of account of purchase of shares through the secondary market and for recovery of your charges on account of my PIS accounts

I hereby solemnly declare that I, my legal heirs, executors agree to indemnify and keep indemnified Kotak Mahindra Bank Ltd from any claims of any nature including damages for carrying out transactions based on this Letter of Authority. I further confirm that I shall not raise any objection or a claim against Kotak Mahindra Bank Ltd for acting on such instructions and in debiting and crediting my account. I confirm that I shall ratify all the credits and debits which Kotak Mahindra Bank Ltd may carry out based on the instructions of this Letter of Authority and it shall be deemed as if the said transactions have been carried out by me.

I confirm that this Letter of Authority shall remain binding and irrevocable till such time this said Letter of Authority is revoked in writing by me. I confirm that notwithstanding the revocation Kotak Mahindra Bank Ltd shall continue to be indemnified for all the actions done prior to the said revocation.

RPI / NRI

(To be submitted to designated branch of any Authorised Dealer)

Application Form non-resident individuals of Indian nationality or origin for permission under Foreign Exchange Management Act and regulations framed thereunder to purchase shares of Indian companies through stock exchange/s in India WITH / WITHOUT benefits REPATRIATION of capital invested and income earned thereon.

		First Holder	Second Holder	Third Holder
1.	Particulars of the NRI:			
i)	Full Name			
ii)	Overseas address			
iii)	Nationality			
iv)	Details of current Passport			
	a) Passport Number			
	b) Place & date of issue			
	c) Issued by			
	d) Country of residence as stated in the Passport			
	e) Country of Birth			
v)	If the applicant is not a citizen of India, the basis of which he/she claims to be a person of 'India origin' (Please see footnote)			
vi)	Whether resident outside India permanently, if not, since when residing abroad			
vii)	Occupation (employment business, vocation etc.)			
viii)	Relationship with other jointholders			
2.	Source of fund from which Payment for shares / debentures to be purchased will be made			
i)	By Remittances from abroad			
ii)	From non resident bank account in India			
	a) Nature of Account viz. NRE / NRO / FCNR Account			
	b) Account Number			
	c) Address of the bank branch maintaining the account			
Whether the NRI applicant already holds any eligible securities acquired through Stock Exchange with benefit of repatriation / without benefit of repatriation		YES / NO		
		Details of such shares to be furnished in annexure #		

ANNEXURE TO RPI / NRI*

Holding Statement of Secondary Market Investments after becoming a NRI

Name of the Indian Company	Type of Investments (shares/Convertible Debentures)	Date of Purchase	Face Value (Rs.)	Cost of Purchase	Quantity	Folio number/s	Repatriable/ non Repatriable	Ref. No. & date of RBI approval to the company for issue of share/ debentures with repatriation benefits	Name of DP & Demat A/c No.
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)

STATEMENT OF ALL OTHER HOLDINGS

Name of the Indian Company	Type of Investments (shares/Convertible Debentures)	Date of Purchase	Face Value (Rs.)	Cost of Purchase	Quantity	Folio number/s	Repatriable/ non Repatriable	Ref. No. & date of RBI approval to the company for issue of share/ debentures with repatriation benefits	Name of DP & Demat A/c No.
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)

- I solemnly declare that the particulars given above are true and correct to the best of my knowledge and belief that I am citizen of India/person of Indian origin.
- I hereby undertake that I have no dealing/will not deal with any other designated branch/bank under PIS.
- I also hereby agree and undertake that in the event of the designated bank permitting me to purchase shares/debentures in Indian companies on non repatriation basis. I shall not at time seek repatriation of the capital invested or income earned thereon. This undertaking will also be binding on my heirs, executors, successors and assigns and they will not be entitled to seek repatriation of any capital so invested by me.
- I agree and undertake to ensure that my/yr total holding of equity/preference shares/convertible debentures in any one Indian Company that may be allowed to be purchased through stock exchange/s (including the equity/preference shares/convertible debentures already held, if any, in that company) with/without repatriation benefits shall at no time, exceed five per cent of the paid-up capital/paid up value of each series of convertible debentures of that company.

Place : _____

Date :

D	D	M	M	Y	Y	Y	Y
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Signature of Applicant _____

@ The eligible securities will include shares / debentures / bonds of Indian Companies, Govt. Securities (other than bearer securities) treasury bills / units of public sector / private sector Mutual Fund Scheme including units of Kotak. Local address may be given if the second, third holder is resident in India.

Note: For the purpose of the facility of investment in shares and securities, 'Person of Indian Origin' means a citizen of any country other than Bangladesh or Pakistan or Sri Lanka, if a) he at any time held Indian passport; or b) he or either of his parents or any of his grand - parents was a citizen of India by virtue of the Constitution of India or the Citizenship Act, 1955 (57 of 1955); or c) the person is a spouse of an Indian citizen or a person referred to in sub-clause (a) or (b);

In case of additional information, kindly use plain paper with same format and attach.

For CPU / HUB Use only	
Received on _____	Received by _____
Scanned on _____	Scanned by _____
Verified on _____	Verified by _____
Remarks _____	